

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.63(b))

Attorney Docket No.
01489

Total Pages :

First Named Inventor or Application Identifier

Katsuya WATANABE et al.

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages -148]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total sheets -29]
4. ☒ Oath or Declaration [Total Pages - 3]
 - a.1. ☐ Newly executed (original or copy)
 - a.2. ☐ Unexecuted
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)
☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other ☐ Claim for Priority
Change of Address

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior Application No 08/688,294.

18. CORRESPONDENCE ADDRESS

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October 29, 1999

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10/29/99
1c665 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1c525 U.S. PTO
09/430040
10/29/99

In re application of :
Katsuya WATANABE et al. :
Serial No. [Not yet assigned] : Attn: Application Branch

Filed October 29, 1999 :

Optical Disk Apparatus
(Rule 1.53(b) Divisional
of Serial No. 08/688,294,
Filed July 29, 1996)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1,306.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application \$1,306.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Katsuya WATANABE et al.

By 

Dhiren R. Odedra
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October 29, 1999

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99_1173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Katsuya WATANABE et al. :
Serial No. [Not yet assigned] : Attn: Application Branch
Filed October 29, 1999 :
Optical Disk Apparatus
(Rule 1.53(b) Divisional
of Serial No. 08/688,294,
Filed July 29, 1996)



CLAIM OF PRIORITY UNDER 35 USC 119

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants in the above-entitled application hereby claim the date of priority under the International Convention of Japanese Patent Application No. 7-191680, filed July 27, 1995, and Patent Application No. 8-081245, filed April 3, 1996, as acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Applications are of record in parent application Serial No. 08/688,294, filed July 29, 1996.

Respectfully submitted,

Katsuya WATANABE et al.

By

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October 29, 1999

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ATTY DOCKET #: 01489

Due Date: n/a

MATTER: 99-1173/DRO/01489

Applicant: Katsuya WATANABE et al.

Serial No.: [Not yet assigned] Filing Date: October 29, 1999

Title: Optical Disk Apparatus

Receipt of the following papers is acknowledged:

1. Utility Patent Application Transmittal (Rule 53(b) divisional of USSN 08/688,297, filed July 29, 1996)
2. Patent Office Fee Transmittal Form [in duplicate]
3. Specification comprising 148 pages (including claims 1-33 and abstract)
4. 28 sheets of drawings (Figs. 1-29)
5. Copy of executed Declaration and Power of Attorney from parent application
6. Information Disclosure Statement and Form PTO 1449
7. Preliminary Amendment w/ substitute specification and copy of marked-up original specification
8. Claim of Priority Under 35 USC 119
9. Change of Address
10. Check in the amount of \$1,306.00

1525 U.S. PTO
09/430040

Date: October 29, 1999

Attorney: DRO/klw 10/29/99

[Check No. 354951]